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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY **PATENT APPLICATION TRANSMITTAL**

Attorney Docket No.		_	01AB082
First Inventor		7	oseph Lenner
Title	ELECTRONIC LOCKOUT/TAGOUT SYSTEM		
			ETT00000000000000000000000000000000000

(Only for new nonprovisio	nal applications under 37 CFR 1.53(b))	Expres	s Mail Label No. E	T26832	6567L	JS 🤰
APPLICATION ELEMENTS			DRESS TO: Box	x Patent A	pplicat	
See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification [Total Pages 44] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention			Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or i i. paper c. Statements verifying identity of above copies			
- Brief Summary - Brief Description	of the Invention on of the Drawings (<i>if filed</i>)	Z	ACCOMPANYING	APPLIC	CATIO	ON PARTS
- Detailed Description - Claim(s) - Abstract of the Disclosure 9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 10. Prawing(s) (35 U.S.C. 113) [Total Sheets 17] 11. English Translation Document (if applicable)					A document(s)) Power of Attorney applicable) Copies of IDS Citations 503) ent(s) U.S.C. 122 form PTO/SB/35 rtificate	
Continuation Prior application information.	Divisional Continuation-in-part (CIP)					
Prior application information. Examiner Group Art Unit. For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
	19. CORRESPOND	ENCE ADI	DRESS			
Customer Number or Bar C	ode Label (insart Custumer No. or Atlach b	ar code label fi	are) or 🔽	Correspon	idence a	ddress below
Name	William R. Walbrun					
	Rockwell Automation (Allen-Bradle)	Co., Inc.)			
Address 1201 South Second Street						
City	Milwaukee	State	Wisconsin	Zip (Code	53204
Country	Tel	ephone	414.382.1314	Fá	ax	414.382.3900
Name (Print/Type)	Himanshu S. Amin	Reg	istration No. (Attorne	y/Agent)	40,	894
Signature	M/11			Date	Augu	ust 23, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	1242.00
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Complete if Known		
Application Number		,,,,,
Filing Date	Herewith	
First Named Inventor	Joseph Lenner	
Examiner Name		
Group Art Unit		
Attorney Docket No.	01AB082	

METHOD OF PAYMENT	METHOD OF PAYMENT FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
Indicated fees and credit any overpayments to: Deposit	Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Description	F D-11		
Account 50-1063	Code (\$) Code (\$)	Fee Paid		
Deposit Account Account Name F.min & Turocy, LLP	105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification			
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination			
See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to			
2. Payment Enclosed:	Examiner action			
Check Credit card Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month			
Large Entity Small Entity	117 890 217 445 Extension for reply within third month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,390 218 695 Extension for reply within fourth month			
101 710 201 355 Utility filing fee 710	128 1,890 228 945 Extension for reply within fifth month			
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal			
107 490 207 245 Plant filing fee	120 310 220 155 Filing a brief in support of an appeal			
108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing			
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
CUDTOTAL (4) (6) 740 00	140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 710.00	141 1,240 241 620 Petition to revive - unintentional			
2. EXTRA CLAIM FEES Fee from	142 1,240 242 620 Utility issue fee (or reissue)			
Extra Claims below Fee Paid	143 440 243 220 Design issue fee			
Total Claims 34 -20** = 14 x 18 = 252	144 600 244 300 Plant issue fee			
Claims	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent 0 = 0	123 50 123 50 Petitions related to provisional applications			
Large Entity Court Faster	126 240 126 240 Submission of Information Disclosure Stmt			
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00		
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection (37 CFR § 1 129(a))			
102 80 202 40 Independent claims in excess of 3	149 710 249 355 For each additional invention to be			
104 270 204 135 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))			
109 80 209 40 ** Reissue independent claims over original patent	179 710 279 355 Request for Continued Examination (RCE)			
110 18 210 9 ** Reissue clarms in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 492.00	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.0	00		
SUBMITTED BY	Complete (if applicable)			
Name (Print/Type) Himanshu S. Amin	Population No.			

August 23, 2001 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Signature

REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Joseph Lenner	
Title	ELECTRONIC	LOCKOUT/TAGOUT SYSTEMS	
Atty [Oocket Number	01AB082	·····

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 23, 2001

Date

2/1/

Signature

Himanshu S. Amin (Reg. No., 40,894)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**